



Holly Stein Sollod, Esq., FCIArb

Holly Stein Sollod ADR LLC

Admitted in both New York and Colorado, Holly is an independent arbitrator, with more than 40 years' experience as arbitrator and advocate in complex business and commercial disputes. Skilled in managing complex legal issues, facilitating fair dispute resolution, and delivering clear, well-reasoned decisions.

Holly Stein Sollod serves on the American Arbitration Association (AAA) Panel of Arbitrators, including the Large Complex Commercial Panel, Financial Services Panel, Securities Panel, Joint Venture and Mergers & Acquisitions Panel, the Technology Panel, the Consumer Panel, and the Cannabis Panel.

Holly is also qualified to act as an international arbitrator on the International Centre for Dispute Resolution Arbitration Panel (ICDR), and the Center for Conflict Prevention and Resolution, Panel of Distinguished Neutrals (CPR): Banking, Accounting Panel, and Financial Services, Hedge Funds, and the Colorado At-Large Panel.

Holly was inducted as a Fellow into the College of Commercial Arbitrators in 2008, and as a member of the National Association of Distinguished Neutrals (NADN) in 2018.

She is experienced at handling arbitrations as chair, sole arbitrator, and as wing in a wide variety of industries and subject matters. Holly is experienced handling dispositive motions, discovery disputes, expedited and emergency remedies, reasoned awards and findings of fact and conclusions of law.

Holly is a member of the College of Commercial Arbitrators (CCA) and a Fellow in the Chartered Institute of Arbitrators (FCIArb).

For more information, visit www.sollodadr.com

Experience

Civil trial practice in New York and Colorado focused on complex commercial litigation, in state and federal courts, including class actions. Cases alleged claims of antitrust, breach of fiduciary duty, corporate governance, breach of contract, fraud, product liability, RICO, Telephone Consumer Protection Act, Truth In Lending Act, insurance, consumer protection, business divorce, partnership and limited liability company disputes, licensing, international franchise agreements, cannabis operations, biotech, cryptocurrency, covenants not to compete, employment, lender liability, Fair Credit Reporting Act,



cybersecurity, earn-out agreements, merger & acquisition disputes, and ERISA fee litigation. Primary areas of practice included:

Securities/Financial Services: Represented clients in regulatory investigations into Ponzi schemes, the sale of annuities, insider trading, supervision, fraud, reverse mergers, accounting fraud issues and market timing. Frequently hired as counsel to audit committees in conducting internal corporate investigations, and as counsel to Special Litigation Committees.

Technology/Software/Cryptocurrency/Cybersecurity: Represented a variety of clients in class actions involved in diverse industries such as cryptocurrency, software development, artificial intelligence, biopharmaceuticals focused on oncology medication, global information technology consulting services, oil and natural gas development, IT cybersecurity software and consulting, multinational developer of quality control products/critical environments for pharmaceutical, healthcare, research, manufacturing, and service spaces, and cross-border money transfers.

Corporate Governance: Represented owners, members, and investors of private and public companies, including partnership, limited liability companies, start-ups, in a wide range of disputes, including breach of fiduciary duty, bad faith, theft of trade secrets, breach of operating agreements, and disputed dissolutions in federal and state courts.

International: Represented U.S. public company in cross-border dispute over non-payment of Value Added Tax (VAT) from marketing efforts in Spain; represented U.S. public company in attempted enforcement of foreign money judgment issued in Republic of Congo proceeding; represented U.S. client in \$100 million dispute with Chinese company involving termination of Master Supply Agreement and Master Services Agreement for software and hardware; represented Singapore client in arbitration involving termination of franchise agreement by U.S. franchisor.

Work History

Holly Stein Sollod ADR LLC (2025- Present); Senior Partner, Holland & Hart LLP (2023-2025); Partner, Holland & Hart LLP (1990- 2024); Associate, Holland & Hart LLP (1986-1989); Director of Arbitration and Disciplinary Hearings/Senior Attorney, The American Stock Exchange, Inc. (1984-1986); Litigation Associate, Townley & Updike, New York, New York (1981-1984).

Arbitration Cases

Over the past 35 years, Holly has presided over arbitrations as chair, sole arbitrator and as member of a panel in a wide variety of industries and subject matters, such as:



Cryptocurrency disputes, involving multiple parties asserting breach of contract, misrepresentations and fraud in connection with the sale of a business.

Mining disputes involving reserves, royalties and misrepresentations to investors.
Software and trade secret disputes involving breach of licensing agreement, royalties and patent rights arising out of sale of a technology business.

Business divorce disputes involving multiple owners and interests in technology companies, resorts, healthcare, mining, and life sciences.

Fraud and negligence claims asserted in lender liability arrangements, franchise agreements, vacation rentals, insurance coverage, indemnification, professional liability, receiverships, and Ponzi schemes.

Proficient at deciding disputes unique to regulated sectors, such as financial services, banking and healthcare.

Notable Recent Cases:

- Wing arbitrator in complex commercial AAA arbitration alleging breach of intellectual property and restricted stock agreements in sale of crypto market-making algorithm.
- Chair of AAA arbitration panel involving overcharges for shareholder services provided to non-retirement accounts in the Mutual Funds for which Claimant served as principal underwriter and distributor. Claims involved breach of contract, breach of implied covenant of good faith and fair dealing, unjust enrichment, violation of Massachusetts Unfair Business Practices Act, fraudulent concealment, conversion and negligent misrepresentation. Damages sought in excess of \$15.5 million.
- Sole arbitrator in disputes brought by customers against investment advisors and disputes between investment advisors and account custodians.
- Sole arbitrator in AAA arbitration involving claims for breach of Advisor-Manager Agreement alleging Respondents failure to pay management fees due and owing, and breach of non-compete and confidentiality provisions of Adviser-Manager Agreement.

Sole arbitrator in AAA arbitration involving \$1.28 million dollar dispute brought by investors in conservation easements sold as tax shelters under California law.

- Sole arbitrator in multi-million-dollar AAA arbitration among LLC members to decide rightful ownership of interests in resort. Claims involved fraudulent inducement, breach of fiduciary duty, breach of operating agreement, expulsion and dissociation under the Wyoming LLC Act.
- Member of AAA arbitration panel deciding dispute over financing of the design and development of cultivation and processing facility for medical cannabis. Claims involved breach of contract, breach of implied covenant of good faith and fair dealing, and appointment of a receiver.

Training

College of Commercial Arbitrators Annual Meeting, 2008-2024; Core Arbitrator Training: ICDR International Symposia (ICDR Training), 2023; ACE 23 Panelist Safety & Security, 2023; ACE 22 Process Essentials for AAA arbitrators, 2022; ACE 21 Impartiality: Do You Know Where Your Biases Are? 2021; ACE 20 Cyber Security: A Shared Responsibility, 2020; ACE19 Case Finances: What Arbitrators Need to Know, 2019; ACE 18 Arbitrator Performance and Demeanor ~ Meeting Participant Expectations, 2018; Dealing with Difficult Attorneys in Arbitration ACE13, 2016; AAA Arbitration Awards - Safeguarding, Deciding and Writing Awards ACE01, 2015; AAA Principled Deliberations, 2014; AAA Managing the Arbitration Process for Efficiency & Economy Following the Preliminary Hearing, 2012; FINRA, Revised Code of Arbitration, 2007; AAA Chairing an Arbitration Panel: Managing Procedures, Process & Dynamics ACE05, 2006, 2005; AAA Commercial Arbitrator II Training: Advanced Case Management Issues, 2004; AAA Arbitrator Update 2003; AAA Arbitrator I Training-Fundamentals of the Arbitration Process, 2002; Conflict Dispute Resolution Association, Mediator Training, 2002; NASD Chairperson Securities Training, 1996; various other ADR training.

Licenses

Admitted to the Bar: New York, 1982, Colorado, 1986
The United States Supreme Court
U.S. District Court, District of Colorado
U.S. District Court Southern District of New York
U.S. Court of Appeals, Tenth Circuit and Ninth Circuit

Education

Cornell University B.A.
New York University School of Law, J.D.

Speaking Engagements



"Arbitration of Cryptocurrency Disputes Panelist, 2024 ABA Business Section Spring Meeting, Orlando Florida.

"From the Courtroom to the Zoom Room," Holland & Hart News Update, Co-Author, 05/01/2020.

"10th Circuit Affirms FINRA Arbitration Award—Adopts Face-of-the-Award Rule," Holland & Hart News Update, 04/15/2020

Awards

Colorado Super Lawyers, Alternative Dispute Resolution, 2024-2025

The Best Lawyers in America®, Commercial Litigation and Securities/Capital Markets, 2013-2025

The Best Lawyers in America® International Arbitration Commercial, 2023

Colorado Super Lawyers, Securities Litigation, 2010-2023

Colorado Super Lawyers Top 50 Women Lawyers in Colorado, 2013, 2014, 2020, 2022

Martindale-Hubbell, AV Preeminent Rating-Judicial Edition

Panels

American Arbitration Association (Large Complex Case Panel, Financial Services, Joint Venture/ Mergers & Acquisitions Panel, Technology Panel, Securities Panel, Consumer Panel and Cannabis Panel)

International Centre for Dispute Resolution Panel (ICDR)

International Center for Conflict Prevention and Resolution (CPR), Panel of Distinguished Neutrals: Banking, Accounting and Financial Services Panels

Financial Industry Regulatory Association (FINRA), Non-Public, formerly known as NASD.

Associations

Fellow, The Chartered Institute of Arbitrators (FCIArb)

Fellow, College of Commercial Arbitrators (CCA)

Member, National Association of Distinguished Neutrals (NADN)

Lifetime Fellow, American Bar Foundation American Bar Association (Litigation, Dispute Resolution Section and Business Law Dispute Resolution Committee, Women in Dispute Resolution Committee)